

15 **Abstract**

16 We used the Variable Infiltration Capacity (VIC) macroscale hydrology model to reconstruct
17 daily snowpack records in the Upper Colorado River Basin headwaters for the 67-water-year
18 period 1949-2015 with focus on the accumulation season. We applied a snowfall-based storm
19 identification method to the reconstructed data to attribute the sources of the accumulated snow
20 as either Atmospheric River (AR) (based on an AR catalog) and non-AR. Over our study period,
21 and using a definition based on basin-average snow water equivalent (SWE) increase, we find
22 that there are on average 37.4 days during which snow accumulates each year, consisting of an
23 average of 16.2 storms per water year. These storms account for an average of 78.2% of annual
24 peak SWE. This number is higher (86.1%) in wet years than during dry years (70.3%). 69% of
25 all storms on average are AR-related they contribute 56.3% of the annual snowpack peak.

26 Although there are no significant basin-wide trends in AR-storm days or storm days per year
27 over our study period, we found that there were parts of the basin (mostly in the middle latitudes)
28 with significant upward trends in the contributions of AR-days and storms to accumulated SWE.

29 **1. Introduction**

30 The Colorado River is the largest river in the U.S. Southwest, and the region's most
31 important surface water source. Although the area of the entire Colorado River Basin (CRB) is
32 approximately 637,000 km², more than 90% of the natural streamflow is generated in the Upper
33 Colorado Basin (UCRB) above Lees Ferry, AZ. The river is highly influenced by snowpack in
34 the Rocky Mountain headwaters sub-basins, which account for over 70% of the river's annual
35 flow (Li et al., 2017). The Colorado River is one of the most heavily regulated rivers in the
36 world, owing to municipal and agricultural water demands in the Lower Basin (below Lees
37 Ferry) where some 13,000 km² of agricultural lands are irrigated with river water (Cohen et al.,
38 2013), and from which an additional ~20% of the river's flow is transferred to California for
39 agricultural and urban water supply. The ability of the river to meet these water demands is aided
40 by two large reservoirs, Lakes Powell and Mead, which have a combined storage capacity in
41 excess of four times the mean flow at Lees Ferry. Given the exceptionally high use of the river's
42 water and the need to efficiently manage it in the face of a warming climate, better understanding
43 of the hydrological behavior and patterns within the basin are of great interest both to the
44 scientific and water management communities.

45 Despite the significance of the snowpack in the UCRB headwaters, the long-term
46 climatology of winter storm contributions to the snowpack have not been carefully explored. It is
47 known that differences in climatic conditions strongly affect the snowpack variability over the
48 mountainous parts of the UCRB (Trujillo & Molotch, 2014). Snow observations come mostly
49 from the NRCS SNOTEL Snow Water Equivalent (SWE) network with about 80 stations across
50 the UCRB, most of which have been in operation since the 1980s and 1990s, and predecessor
51 manual snow course observations. Some previous studies have attempted to reconstruct the

52 snowpack in the basin with a variety of data sources and tools. Schneider & Molotch (2016) used
53 SNOTEL SWE data combined with Moderate Resolution Imaging Spectroradiometer (MODIS)
54 satellite snow areal extent imagery to improve the real-time snowpack estimate in the Colorado
55 River Basin. Timilsena & Piechota (2008) analyzed tree-ring chronologies for the period 1500-
56 1980, and reconstructed SWE at a set of snow course sites in the UCRB. Several model-based
57 experiments have also reconstructed snowpack over the UCRB. Barlage et al. (2010) improved
58 the snow simulation in the Noah land surface model (Ek et al., 2003) and reported improved
59 performance of the updated model's ability to simulate the magnitude and timing of seasonal
60 maximum SWE over the UCRB headwaters. Ikeda et al. (2010) evaluated seasonal variations in
61 UCRB snowpack using the Weather Research and Forecasting (WRF) regional climate model.
62 The implications of future warming over the UCRB, including snowpack changes, were studied
63 using WRF by Rasmussen et al. (2011). Chen et al. (2014) employed several well-known
64 hydrological models to simulate SWE over the UCRB. However, none of the previous published
65 work has evaluated the contribution of winter storms (and in particular, Atmospheric Rivers) to
66 SWE in the UCRB.

67 In contrast, several recent studies have evaluated the characteristics of storms that
68 contribute to snowpacks in the Sierra-Nevada (Dettinger, 2016; Eldardiry et al., 2019; Huning &
69 Margulis, 2017; Margulis et al., 2016). These studies are potentially relevant to the UCRB as
70 well, notwithstanding that there are important differences in winter storm patterns in the two
71 regions. California winter precipitation is highly dependent on large storms, as the wettest 5% of
72 precipitating days contribute around 1/3 of the total precipitation (Dettinger, 2016). Huning &
73 Margulis (2017a) analyzed a high-resolution reanalysis SWE dataset (Margulis et al., 2015,
74 2016) for the Sierra Nevada and found that more than half of the snowpack in the region come

75 from three or fewer large storms. They defined snowstorms as periods during which basin-wide
76 SWE accumulates (grid cells at 90-m resolution higher than 75th percentile of the elevation
77 distribution show positive SWE changes) with increases greater than 1% of the total annual
78 maximum SWE ($\Delta\text{SWE} > 1\%$). They found that at least 50% of the accumulated snow (averaged
79 over the Sierra Nevada) comes from no more than three large storms. Eldardiry et al., (2019)
80 used WRF reconstructions of hydroclimatic variables along the Pacific Coast of the western U.S.
81 and found that high positive net snow accumulation during winter is mostly associated with AR
82 events.

83 Here, we utilize the physically-based, semi-distributed Variable Infiltration Capacity
84 (VIC) hydrological model forced with the Livneh et al. (2013) dataset to reconstruct SWE over
85 the headwaters of the UCRB (Fig.1) for water years 1949-2014 (hereafter any reference to years
86 implies water years unless stated otherwise). We then use the simulated SWE data to identify
87 storms and assess their spatial patterns and origins, including storms (and storm days) that are
88 associated with ARs.

89 **2. Dataset and Methods**

90 2.1 Hydrologic model and meteorological forcings

91 We used the Variable Infiltration Capacity (VIC) model (Liang et al., 1994) version 4.2.d
92 as our primary tool to reconstruct snowpack over the UCRB during our 1949-2014 study period.
93 We focused on the accumulation season, which we define as the period from Oct-1st to the date
94 of domain-average peak SWE each water year, where we defined our domain as all 1/16th degree
95 grid cells in the UCRB where long-term average Apr-1st SWE exceeded 50 mm (see Figure 1).
96 The VIC model requires gridded meteorological variables as forcings. We used daily gridded
97 records (at 1/16th degree spatial resolution) of precipitation, temperature maximum, temperature

98 minimum, wind speed from the Livneh et al. (2013) data set (hereafter L13). We applied the
99 Mountain Climate (MTCLIM) algorithm (see Bohn et al., 2013 for details) to produce downward
100 longwave and shortwave radiation, surface air pressure and humidity.

101 2.2 Snow observation and AR catalogs

102 SNOTEL stations (of which there are 86 within our domain) collect daily SWE, air
103 temperature, and precipitation observations dating back to the 1980s (and in some cases 1990s)
104 over the Western U.S. The SWE observations reported at SNOTEL stations are measured by
105 automated snow pillows which essentially weigh the overlying snow mass. The 86 SNOTEL
106 sites we used all have data availability from 1991 or earlier. We downloaded all the available
107 records for each of the 86 sites for further analysis.

108 We used the AR catalog of Guan & Waliser (2015) which is based on the NCEP-NCAR
109 reanalysis. The AR catalog is derived from 6-hourly global atmospheric products from the
110 NCEP/NCAR reanalysis (Kalnay et al., 1996) for calendar years 1948-2015 and has been used in
111 other snow-related studies (e.g. Eldardiry et al., 2019; Goldenson et al., 2018; Huning et al.,
112 2019; Little et al., 2019). Details of the detection algorithm and the AR catalog can be found in
113 Guan & Waliser (2015) and therefore are not discussed here.

114 2.3 Storm identification

115 We followed the approach of Huning & Margulis (2017) which defines storms based on
116 SWE volume with minor modifications. We categorized storm days as days with basin-wide
117 average SWE increase equal or greater to 1% of the long-term average of the domain's annual
118 SWE maximum (270 mm for our domain). We aggregated consecutive storm days into storms,
119 which accounts for the possibility that snowfall events can be longer than one day (Serreze et al.,
120 2001).

121 One concern about this identification approach is that it may miss storms that partially
122 cover the domain. In order to address this issue, we tested a cell-based storm identification
123 criterion, and then defined basin-scale storm days as occurring when more than 30% of the grid
124 cells in our domain had SWE increases larger than the 2.7 mm threshold (1% of 270 mm) on the
125 given day. The number of storms and AR-storms identified by the two methods are quite similar
126 (less than 10% difference) as shown in Supplement Figure S1. The consistency of the two
127 methods indicates that we are not missing major storms that cover only part of the domain.
128 Therefore, we used the basin-average threshold in our subsequent analysis.

129 As we apply our identification algorithm, the storm identification threshold is a fixed
130 value taken as the average over the entire domain (2.7 mm/d). We use this criterion to analyze
131 spatial diversity of storm contributions to SWE across the domain, as well as the contributions in
132 drought and wet years. Apart from identifying major snowfall events, we further classified
133 storms into AR and non-AR categories using the Guan & Waliser (2015) catalog. For each storm
134 identified as described above, we then checked whether an AR event occurred in the domain on
135 the same date (as well as one day before and one day after). Following this approach, we
136 classified all storms into AR-related and non-AR types for further evaluation.

137 **3. Results and Discussion**

138 3.1 Snowpack reconstruction verification

139 We used the VIC model to reconstruct the snowpack over our 67-year study period. The
140 VIC model has been successfully applied in numerous previous studies of hydrological
141 conditions and associated water resources of the Colorado River basin (e.g. Barnett et al., 2005;
142 Barnett et al., 2008; Christensen et al., 2004; Christensen & Lettenmaier, 2007; Koster et al.,
143 2010; Vano et al., 2012, 2014; Xiao et al., 2018; and others). More specifically, several previous

144 studies have used the VIC model to address snow-related issues in the CRB. For instance, Mote
145 et al. (2005, 2018) employed both in-situ measurements and VIC simulations to assess long-term
146 snow declines in the mountainous Western U.S., and found that the trends estimated by the two
147 approaches were in good agreement in the UCRB. For instance, Painter et al., (2010) examined
148 the effects of dust radiative forcing on runoff responses in the UCRB using VIC model
149 simulations. Deems et al., (2013), in a follow-up study, utilized the VIC model to estimate the
150 combined influences of dust and regional warming on snowmelt and streamflow timing in the
151 CRB. Li et al., (2017) performed VIC model simulations over the mountainous Western U.S. and
152 used the results to evaluate the contribution of snowpack to annual streamflow across. In
153 summary, the VIC model has been widely applied in the UCRB and elsewhere in the Western
154 U.S. to reconstruct long-term variations in snowpack, in a manner similar to our application here.

155 The L13 data set likewise has been successfully used in a number of previous studies of
156 the UCRB, including several of those mentioned above as well as Corringham & Cayan, 2019;
157 Dierauer et al., 2018; Gautam & Mascaro, 2018; Hoerling et al., 2019; McAfee et al., 2019; and
158 Yan et al., 2019. The L13 data set is observation (and model) based, and hydrologically
159 consistent. It was derived from precipitation and temperature records from approximately 20,000
160 NOAA Cooperative Observer (COOP) stations across the conterminous U.S. It is an update an
161 extension of the Maurer et al. (2002) data set. The methods used in the L13 data set are based on
162 Maurer et al., (2002) but with higher spatial resolution and longer temporal coverage.

163 Notwithstanding the widespread use of the VIC model and the L13 data set, we evaluated
164 the performance of both the model and data set. We extracted daily precipitation records during
165 the accumulation season of each water year for all 86 of the SNOTEL sites (see Figure 1 for
166 locations) as well as the L13 temperature and wind speed data to run the VIC model. The

167 purpose of utilizing SNOTEL observed precipitation is to reduce the inconsistency between
168 SNOTEL (point) and gridded values, as well as the effects of topographic differences between
169 point observations and interpolated gridded data. Figure 2 shows the cumulative distribution
170 functions (CDFs) of VIC-simulated and observed annual SWE maxima for 1991-2011. CDFs of
171 average results over all sites and observed SWE peak values at five individual stations, which are
172 geographically distributed across the domain (see Figure S2 and Table S1), are included in
173 Figure 2. The simulated and observed CDFs of SWE annual peak values are within the same
174 range, while the observations are generally slightly higher than VIC (the average difference in
175 the median is 54.8 mm, 12.3% of the mean). The differences are likely due in substantial part to
176 the fact that the VIC simulations are for an entire $1/16^\circ$ grid cell and SNOTEL observations are
177 for points within the grid cell. We also compared the time-series of the mean observed and
178 simulated SWE values across the 86 SNOTEL sites (and the $1/16^{\text{th}}$ degree grid cells within
179 which they lie) during the accumulation seasons (Figure S3) and the simulated results and the
180 observed snow daily records agree quite well. On the basis of these comparisons, we conclude
181 that the model results provide plausible reproductions of the observations, and should be
182 sufficient for our purposes.

183 3.2 Basin-wide storm contribution

184 We applied the methods described in Section 2.3 to produce VIC-simulated SWE records
185 using the L13 forcings to identify storms responsible for substantial SWE increases and the
186 subset of those storms that are AR-related. Figure 3 shows time series plots of individual storm
187 days, number of storms and number of AR-related storms. Over the entire study period, there
188 were on average 37.4 storm days per year. The mean number of storms was 16.2 per year of
189 which 69% (11.2) were AR-related.

190 After identifying the storms in each accumulation season, we calculated each storm's
191 contribution to basin peak SWE for that water year. Figure 4 shows the contribution of storms to
192 annual maximum SWE. We also show the contributions from each AR storm in the same figure.
193 We note that we only include storm days within the AR-window (as described in section 2.3) in
194 our calculation of AR-storm contributions (we use the same term "AR-storm" hereafter to denote
195 the storm days within the AR-window). This definition is different from "AR-related" storms,
196 although the difference is rather limited (only 10% of the storm days belonging to an AR-related
197 storm are outside the AR-window). Finally, we calculated the contributions from all days when
198 precipitation yielded SWE increases (denoted as "all precipitation" hereafter) in the
199 accumulation season (Figure 4). In some cases, the estimates can exceed 100%. This can occur
200 because we compared the accumulated precipitation to annual peak SWE over the entire domain,
201 and some (low-elevation areas in particular) can experience mid-season melt. Furthermore,
202 sublimation is a factor that results in accumulated precipitation exceeding annual peak SWE.

203 We find that the average contribution of AR-storms to annual peak SWE is 63.3% over
204 the entire record, and the average contribution from all storm days is 78.2%, which indicates that
205 a large portion (~80%) of the SWE in the UCRB originates from moderate to heavy snow
206 storms. Huning & Margulis (2017) used a similar approach to estimate the range of snowstorm
207 contributions in the Sierra-Nevada and found a range of 83%-93%. Compared to the Sierra
208 Nevada, the values are smaller in the UCRB (perhaps because the distance from the coast is
209 greater, and storms are somewhat less structured than in the Sierra Nevada) but nonetheless is
210 still quite high. We also find that about 75% of all individual storm days are AR-related, and
211 they produce 63% of the total maximum snow accumulation. The average contribution of all
212 precipitation days to the grid cell maximum accumulation averaged over all grid cells in our

213 domain is 116.8%, which implies that the excess (16.8% of the SWE maxima) melts (or is
214 sublimated) before the domain's peak SWE occurs.

215 3.3 Wet, dry, warm and cold years

216 We selected the 10 most extreme years in each category (wet, dry, warm and cold) and
217 investigated the contributions of storm days in each of these categories to SWE. We defined wet,
218 dry warm, and cold based on the total precipitation amount or average temperature during the
219 accumulation season (from Oct 1st to the date of peak SWE) averaged over our domain. Table 1
220 reports the annual average number of storm days, storms and AR-storms in each category. The
221 number of storms and storm days (both AR and non-AR) is higher during wet and cold years
222 compared with dry and warm years. The differences between the number of AR-storms in each
223 of the extreme climatic categories are relatively small, given the fact that only about 10 AR-
224 storms occur per year on climatological average. However, the differences in terms of storm days
225 are larger – 54.9 vs. 23.0 days per year for wet vs dry, and 41.5 vs 36.2 cold vs warm,
226 respectively.

227 Table 2 gives the percent contributions from storms and precipitation days to the
228 maximum annual SWE for the four climatic categories, as well as the climatology (all years).
229 Comparison of the statistics in wet and dry years suggests that while storms play a more
230 important role in snow accumulation during wet years, the contribution percentages from all
231 precipitation in wet years are nonetheless lower than for dry years. The reason for this is that the
232 actual amount of accumulated SWE is much smaller during dry years, which makes contribution
233 percentages rise. The SWE losses (difference between total accumulated SWE and the annual
234 maxima) are similar for wet (2.4 km³/yr) and dry (2.6 km³/yr) years (but as a percentage of peak
235 SWE, much larger in dry years). These results suggest that during dry years, relatively small

236 snowfall events are more important to the accumulated snowpack in UCRB. Nonetheless, the
237 dominant contribution to SWE is from storms in both wet and dry years. During dry years, not
238 only are there are fewer storms, but the precipitation amount per storm also is less. The average
239 SWE increase is 0.76 km^3 per storm for dry years and 1.57 km^3 per storm for wet years (reported
240 in Table 2). On the other hand, the contribution percentages of AR-storms, all storms and all
241 precipitation days are all higher in warm years than cold ones, and the accumulated maximum
242 SWE decreases in warm years. The contribution from all precipitation in cold years are lowest as
243 expected, arguably the result of less mid-season SWE loss by melt or sublimation (only 1.8
244 km^3/yr , 4% of the climatology in cold years, is eliminated during the mid-season). In cold and
245 wet years, snowfall contributes more efficiently to maximum SWE (less midwinter loss) and the
246 contributions from storms are higher (including AR storms). The flip side of that is that in warm
247 and dry years, more of the total snowfall comes from minor events. Overall, 72.7% of all storms'
248 contribution to annual peak SWE is attributed to AR-storms in all years, as high as 76.5% for
249 wet years but still 70.7% in dry years (5th row in Table 2).

250 Figure 5 shows the same bar plots as Figure 4 with wet and dry years highlighted. We
251 estimated the distribution of the contributions to peak SWE for all the 67-year-long records using
252 Weibull plotting positions (see Figure 5). Based on the plots of the contributions, we notice that
253 generally both AR and non-AR storm contributions tend to be higher in wet years and lower in
254 dry years. For the contribution of all precipitation, the results are somewhat different: the
255 contributions (of storms to peak SWE) tend to be higher in dry years and lower in wet years. The
256 reason for dry years having a higher contribution percentage is that maximum SWE in those
257 years is small. More mid-season SWE loss in dry years also has some effect, but the main

258 difference between dry and wet years (with respect to mid-season snowpack loss) is not large
259 enough (2.7 vs 2.2 km³/yr) to be the dominant cause.

260 Similar to Figure 5, Figure 6 shows the same information for warm and cold years.
261 During warm years, because mid-season SWE loss effect is the largest amongst the four climatic
262 conditions, the average percentages are high for AR-storm, all storm, and all precipitation. On
263 the other hand, both the numbers in Table 2 and the distribution plots in Figure 6 show that storm
264 contributions during cold years are not much smaller than for all years. This suggests that
265 although lower winter temperatures result in greater snow accumulation (as the last row of Table
266 2 indicates), the percentage contribution from storms is not substantially affected. The major
267 sources of SWE accumulation are still snowfall during storms and thereby are determined
268 primarily by precipitation amounts.

269 3.4 Spatial analysis

270 Although we defined storms as basin-wide events, most storms do not cover the entire
271 domain. Therefore, for all event measures (storms, AR-storms and storm days) we performed an
272 analysis of SWE changes at each grid cell to determine whether that specific grid cell contributed
273 to particular events. If the grid cell's SWE increased by over 0.5 mm after the event ($\Delta\text{SWE} > 0.5$
274 mm), we considered that grid cell to have contributed to the event. Applying this 0.5 mm
275 threshold for all the events, we determined each storm's coverage and number of events that each
276 grid cell experienced. Using this measure, we found that on average, each storm affected 84.9%
277 of the entire domain and each AR-storm affected 85.7% of the domain, which indicates that the
278 AR-storms' scale is very similar to non-AR storms, but most cover a large part of the domain.
279 Figure 7 shows the cumulative contribution of (AR-) storms (y-axis) as a function of storm cover
280 fraction (x-axis), i.e. given a certain value, μ , the y-axis reports how much SWE is provided by

281 (AR-) storms that cover less than μ of the domain. Of all the contribution from AR-storms to
282 annual peak SWE, 71.7% is attributable to AR-storms that affect more than 90% of the entire
283 region. The contribution from AR-storms that cover less than 70% of the domain is only 6.2%.
284 The remaining 22.1% (100%-71.7%-6.2%) is contributed by AR-storms that cover between 70-
285 90% of the domain. If we perform the same calculation for all storms, we find that storms that
286 cover at least 90% of the entire basin provide 67.9% of all storms' contribution to the SWE
287 annual maxima. Storms that cover less than 70% of the basin yield 6.1% of the total contribution,
288 which means the remaining 26.0% is attributed from storms that cover 70-90% of the domain. In
289 summary, the contributions of both AR- and all storms are mainly attributable to events that
290 cover much of the domain.

291 Figure 8 shows the multi-year average number of AR-storms, all storms and storm days
292 on a grid cell basis averaged over the entire record (note that, as in Figure 1, we only consider
293 grid cells with > 50 mm average Apr-1st SWE). We also show sub-basin boundaries for reference
294 (more detailed information about the sub-basin analysis is included in the Supplement). In
295 general, Figure 1 shows that on average, the eastern part of the basin has more storms and storm
296 days than the western part of the basin. Furthermore, grid cells with more storm days also have
297 higher snow accumulation (see Figure 1). Notwithstanding the west to east trend, spatial
298 variations in storm statistics across the domain generally are modest.

299 If we compute Δ SWE for each event divided by the basin average peak SWE for each
300 year, we can form a time series of the contribution of that grid cell to the basin's total snowpack.
301 We do so in Figure 9, which shows the average contributions (over the entire study period) from
302 AR-storms, all storms and all precipitation to basin total snowpack in each grid. The AR-storm
303 (Fig.9 left panel) and storm (Fig.9 middle panel) maps generally show consistent spatial patterns:

304 the highest numbers are in the east and the smallest contributions are the grid cells with lowest
305 SWE climatology (see Figure 1). Nonetheless, if we take all precipitation into consideration, the
306 northwestern part of the domain (around 42.5°N) also makes large contributions to the basin
307 snowpack (Figure 9 right panel). Because the number of storm days in the northwestern part of
308 the basin are smaller than in the eastern part (Figure 8 right panel), the high contribution in the
309 plot illustrate that small-scale snowfall events play a greater role in that (northwestern) part of
310 UCRB than elsewhere.

311 We also extracted average AR-storm, storm and all precipitation contributions for warm
312 and cold years (defined as described in section 3.3), results of which are shown as spatial maps
313 in Figure 10. Figure 11 shows similar information but for wet and dry years. The spatial patterns
314 of AR-storm and all-storm contributions during wet and cold years are mostly similar to the
315 long-term climatology (Figure 8), where larger contributions tend to occur in those cells with
316 more events. The northwest part ($\sim 42.5^{\circ}\text{N}$) of the basin shows uncommonly high snowfall
317 contributions (as do cool or wet years), which indicates that for warm and dry conditions minor
318 snowfall events still are especially important as compared with the rest of UCRB.

319 3.5 Trend analysis

320 We performed the non-parametric Mann-Kendall (MK) test (Mann, 1945; Kendall, 1957)
321 on the time series of basin-wide number of AR-related storms, all storms and number of storm
322 days per year and found no trends at the 0.05 significance level. Further, the contributions of
323 AR-storm, all storms and all precipitation reported in Figure 4 also failed to pass the MK-test at
324 the 0.05 significance level. As for the basin-wide analysis, we found no trends in either the
325 number of storms or SWE per storm, and for either AR- or all storms. In summary, we detected
326 no statistically significant trends at the 5% significance level for the 1949-2015 period. However,

327 we did find some statistically significant trends when we included earlier (pre-1949) SWE
328 simulations. For instance, we tested the annual trends in number of storm days and storms over a
329 longer period, 1916-2015, using VIC SWE output generated using the same methods (AR-related
330 trends cannot be extended because the AR catalog is not available before 1948). The annual time
331 series of both are show downward trends, which suggests that there are fewer storms in recent
332 decades compared to the early 1900s. Nonetheless, the storms' annual contribution percentage to
333 peak SWE does not show any (decreasing) trend over 1916-2015 as does the storm number,
334 which suggests that the average contribution percentage per storm might be increasing. However,
335 we checked the trend in Δ SWE per storm and found that there is no significant trend over the
336 same period as above. Therefore, it appears that the increasing contribution percentage per storm
337 must be the result of decreasing annual peak SWE (which in fact has been observed by others,
338 see e.g. Mote et al., 2018; Xiao et al., 2019, and others).

339 We then applied the MK-test at each grid cell in the domain to evaluate the spatial pattern
340 of trends. Figure 12 shows the grid cells with statistically significant trends in the number of AR-
341 storms, storms and storm days. There are only 4.6% and 8.4% of total valid cells (long-term Apr
342 1st SWE > 50 mm) that have downward trends in the number of AR-storm and all-storms trends.
343 The numbers of cells diagnosed as showing upward trends in the domain are negligible: no
344 annual upward trend detected in AR-storms, one cell for number of all storms and four cells for
345 number of individual storm days. Overall, the number of events in the basin does not show
346 obvious trends over the study period as Figure 12 shows.

347 Although there are no statistically significant trends in any of the basin-average storm
348 contributions (AR-storm, all-storm, and all precipitation), a number of individual grid cells in the
349 domain have statistically significant trends as shown in Figure 13. The percentage of each type

350 are summarized in Table 3. The grid cells with increasing contributions are primarily in the
351 middle-latitude zone of the domain. In the northwest and southeast part of the domain, ~10% of
352 the total cells have a significant downward trend in contributions of AR-storm, all-storm and all
353 precipitation to the snowpack. Figure 14 shows the trend detected by the MK-test in temperature
354 and precipitation during the accumulation season over all years. The spatial patterns in Figure 13
355 panel (b) and (c) match well with the pattern of trends in precipitation (Figure 14 right panel),
356 which suggests that trends in precipitation likely are the primary factor. These maps suggest that
357 over the entire study period, the snowpack source has moved (slightly) towards the mid-zone of
358 the domain from the northern and southern extremes.

359 Finally, we applied field significance tests to investigate whether the trends detected at
360 each individual cell are statistically significant at the domain level. We followed the approach
361 proposed by (Livezey & Chen, 1983) in conducting field significance tests. We determined the
362 degree of freedom (number of independent sites) following the Chi-square-distribution method
363 proposed by (Wang & Shen, 1999). The results show that there are too few cells with trends in
364 number for all three types of events (see Figure 12 presents) to pass the field significance test.
365 However, the percentage of cells with trends in contributions are large enough (Figure 13) to be
366 field significant. The fact that the basin-average results do not show statistically significant
367 trends (discussed above) may be the result of upward and downward cells cancelling over the
368 domain.

369 **4. Summary and Conclusions**

370 We applied the VIC model forced with the L13 dataset to reconstruct snowpack in the
371 UCRB for the last six decades. On average, the simulated daily SWE time series successfully
372 capture the major characteristics of surface observations during the accumulation season. Using

373 the reconstructed SWE and meteorological data, we employed a snowfall-oriented definition to
374 identify storm contributions to SWE and further investigate the storms variations and
375 contributions over the domain. Specifically, we conclude that:

- 376 1. The average number of days identified as being associated with snowfall storms is
377 37.4 per year, consisting of an average of 16.2 storms that contribute to the majority
378 (78.2%) of the annual peak SWE. Atmospheric Rivers in the UCRB affect ~70% of
379 these storms and supply 56.9% of the accumulated snowpack's peak value. Compared
380 to the Sierra Nevada region (Huning & Margulis, 2017), the values are smaller in the
381 UCRB but nonetheless are still quite high.
- 382 2. In the mountainous parts of the UCRB, moderate and heavy storms are the
383 predominant source of SWE for all four climatic conditions we studied. In wet and
384 cold years, snowfall contributes more efficiently to annual peak SWE because the
385 effects of mid-season melt and sublimation are smaller. More minor snowfall events
386 occur under dry and warm scenarios, and they contributed to 48.4% and 35.8% peak
387 SWE value during the accumulation season (compared with 21.0% and 27.6% during
388 wet and cold years).
- 389 3. The eastern part of the basin tends to have more storms (and AR-storms) and higher
390 storm contributions to snow accumulation than the western part. Small-scale snowfall
391 events have the greatest effect on snow accumulation in the northwestern part of the
392 basin. By investigating the coverage and contribution of each AR- and non-AR storm,
393 we found that ~70% of the storms contribution to SWE is attributable to events that
394 cover at least 90% of the domain. In other words, of all the (AR-) storms, domain-
395 wide events make the main contribution to SWE.

396 4. On a basin-wide basis, there are no statistically significant trends in the total number
397 of storms, number of AR-storms, or in total storm days over the 1949-2015 period for
398 which AR information is available. However, the number of storms does show a
399 statistically significant downward trend over a longer period (1916-2015). On the
400 other hand, there are statistically significant trends for some (less than 1/3 of total
401 number) individual grid cells. Upward trends mainly are in the mid-latitude
402 mountainous portion of the basin and grid cells with downward trends are mostly in
403 the northwestern and southeastern portions of the basin.

404

405

406

407 **Acknowledgments**

408 Support for this work was provided in part by Grant No. NA17OAR4310146 from NOAA's
409 Modeling, Analysis, Predictions, and Projections Program. The data used in this study is
410 archived as <https://doi.org/10.6084/m9.figshare.12152166.v1>.

411

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577 Table 1: Long-term mean number of storm days, all storms and AR-storms in one year as
 578 described in section 3.3. All-water year climatology is also provided for reference.
 579

	Wet years	Dry years	Warm years	Cold years	All years
Storm days	54.9	23.0	36.2	41.5	37.4
All Storms	18.7	12.8	14.8	17.6	16.2
AR-storms	13.2	8.0	10.9	11.9	11.2

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Table 2: Average contributions of AR-storm, all storms and all precipitation to annual peak SWE
 for wet, dry, warm and cold years. The last column presents the climatology of the basin annual
 SWE maximum under each category.

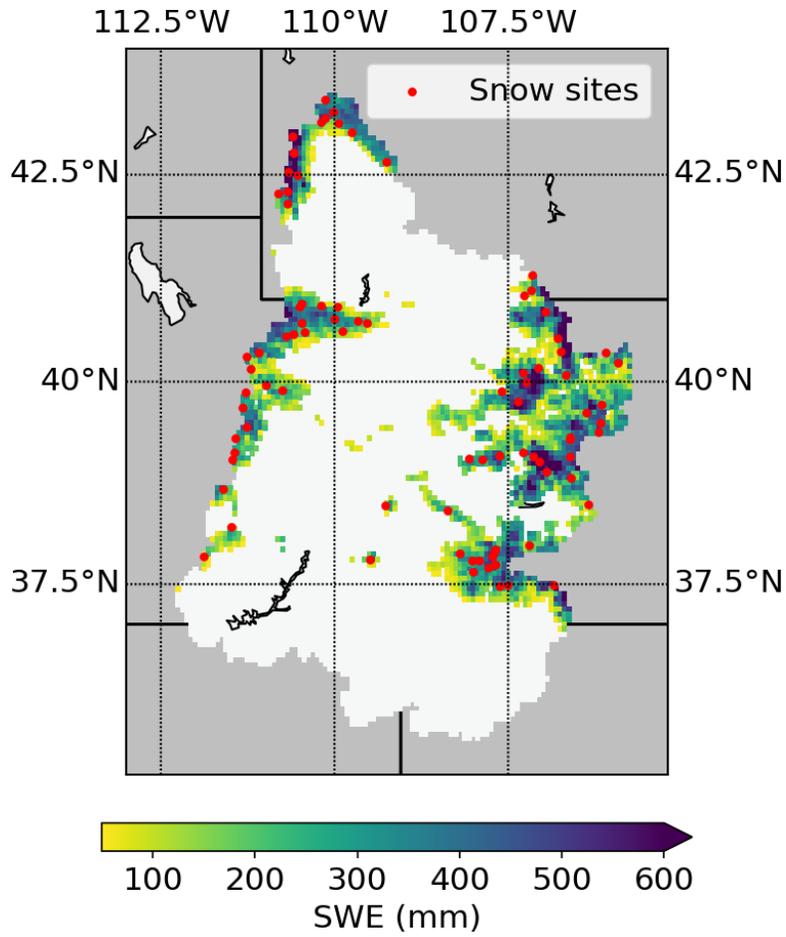
	Wet years	Dry years	Warm years	Cold years	All years
(a) AR-storm	65.9%	49.7%	61.7%	56.0%	56.9%
(b) All storms	86.1%	70.3%	84.0%	76.9%	78.2%
Total	107.1%	118.7%	119.8%	104.5%	110.6%
(a)/(b)	76.5%	70.7%	73.5%	72.8%	72.8%
SWE (km ³)	34.0	13.8	20.3	27.1	23.2
Δ SWE per AR-storm (km ³)	1.97	1.22	1.37	1.66	1.51
Δ SWE per storm (km ³)	1.57	0.76	1.15	1.18	1.12

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Table 3: Percentage of grid cells that have trends in annual contribution of AR-storm, all storm
 and all precipitation (Total) at 0.05 significant level over the domain.

	AR-storm	All storm	Total
Upward trend	8.9%	16.1%	20.1%
Downward trend	8.0%	9.7%	11.2%

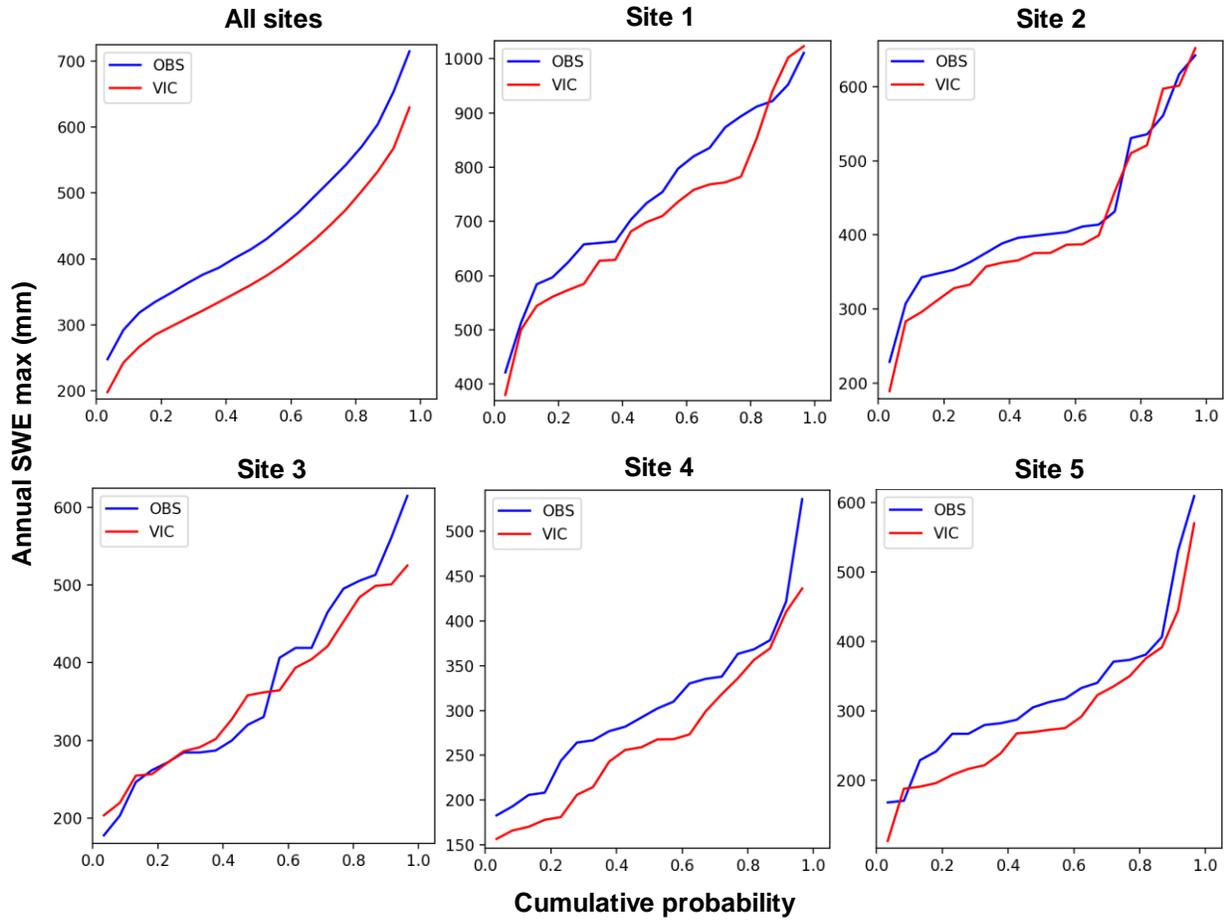
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600 Figure 1: Headwater regions in the Upper Colorado River Basin. Only those grid cells with long-
601 term average Apr 1st SWE>50mm are shown. Red dots mark the 86 SNOTEL station locations
602 within the domain.

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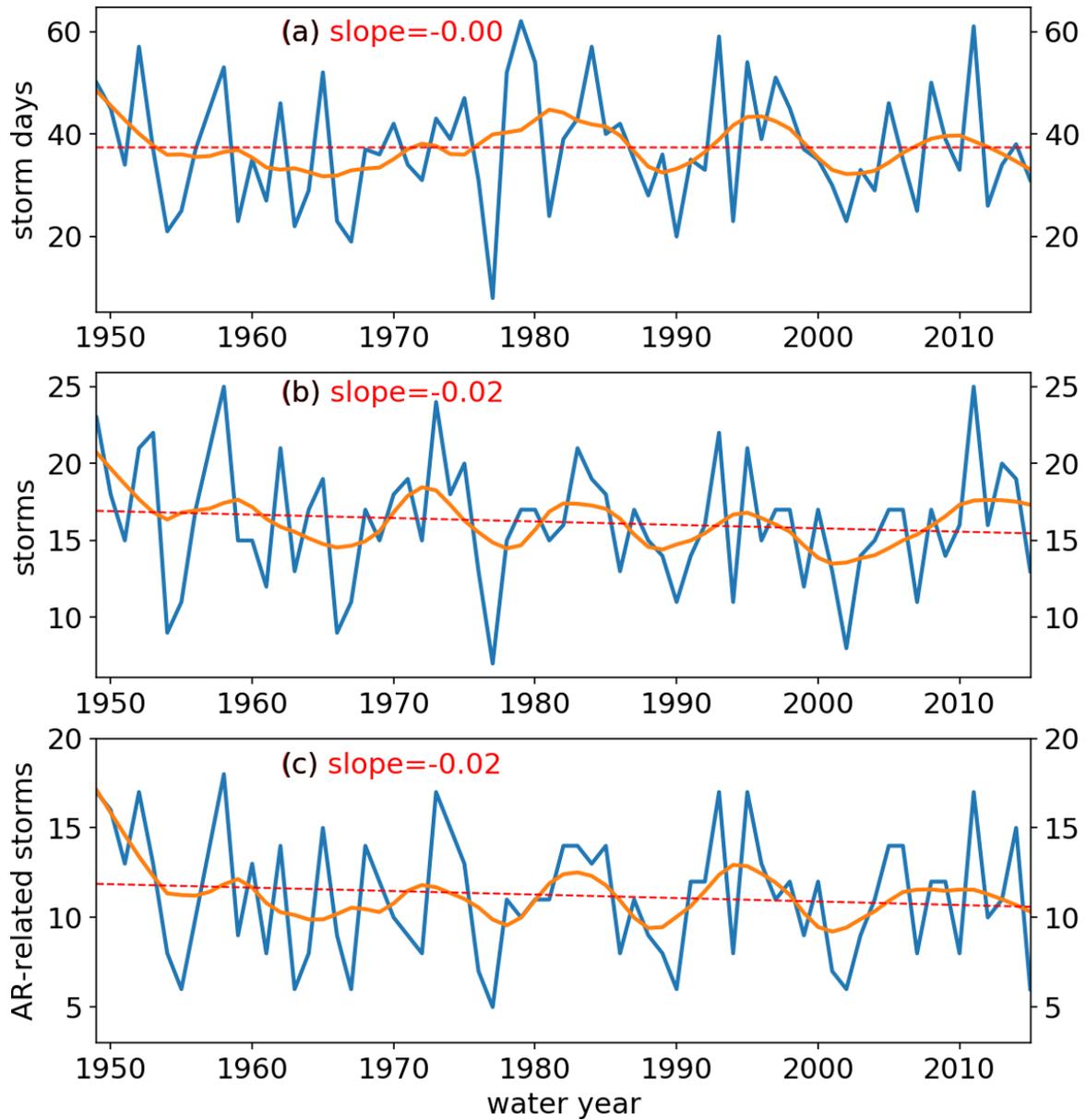
605 Figure 2: CDFs of simulated (red) and observed (blue) annual SWE maxima over 1991-2011.

606 The first panel is the average result across all 86 SNOTEL sites. The other panels are for 5

607 selected stations (detailed information of these 5 sites is provided in the Figure S2 and Table S1

608 in the supplement).

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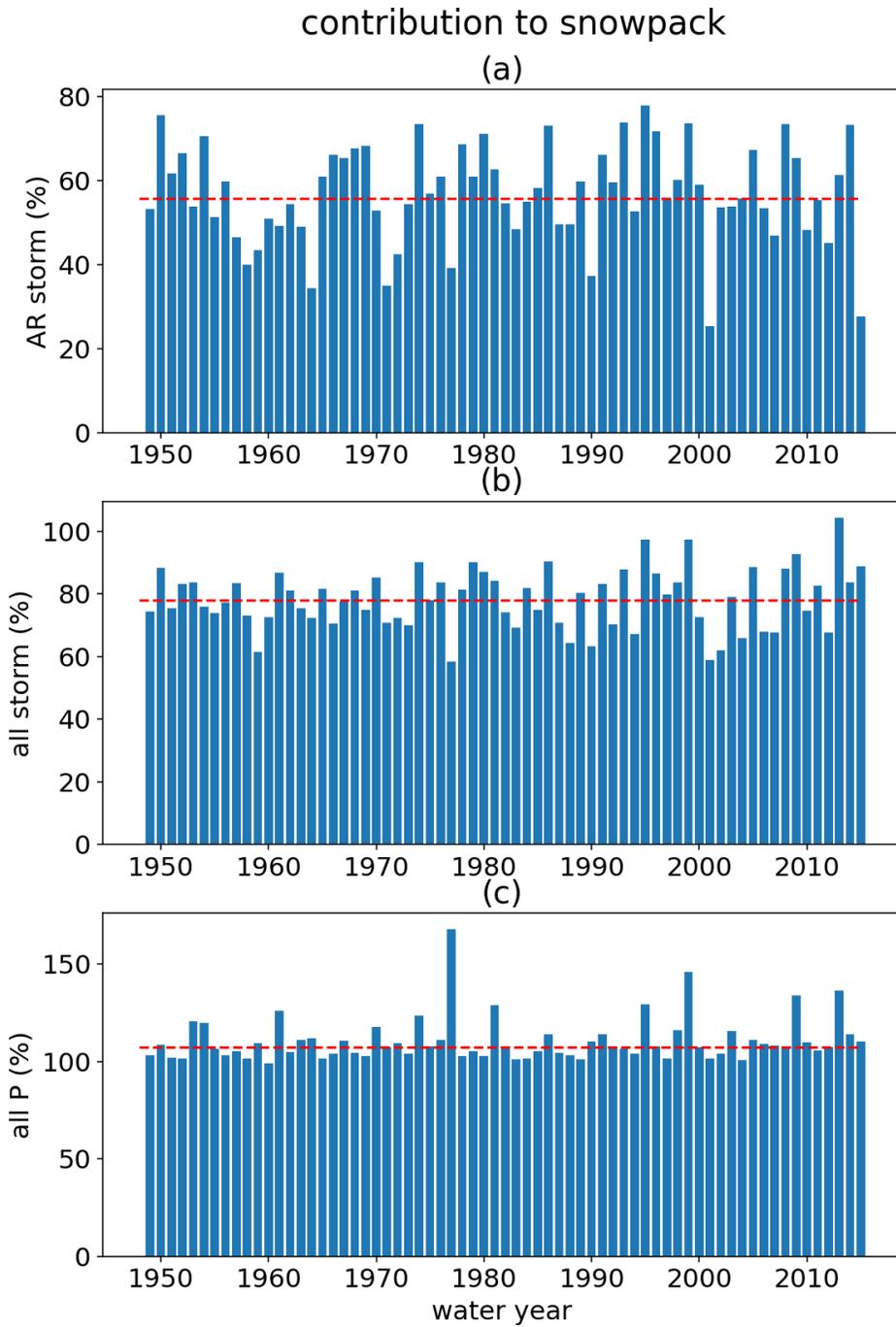
612 Figure 3: Time series of number of storm days (top), number of storms (middle) and number of

613 AR-related storms (bottom) for 1949-2015. The red dashed line is the linear regression against

614 time (although none is statistically significant). The slope is reported in red. The orange line is

615 smoothed using a Lowess fitter (fraction = 0.17).

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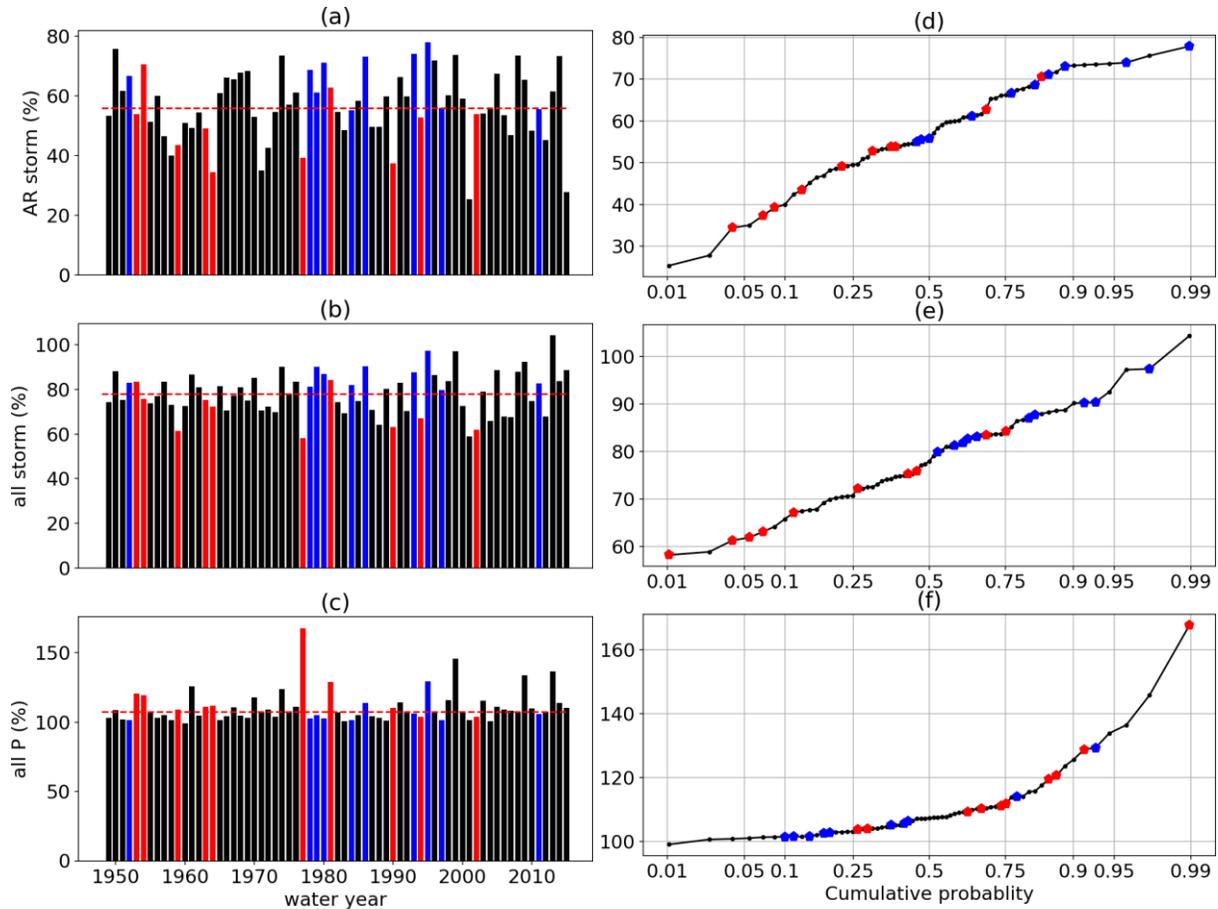
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619 Figure 4: The contribution of (a) AR-storms, (b) all storms and (c) all precipitation to basin-wide

620 SWE in each year. The red dashed line indicates the long-term mean.

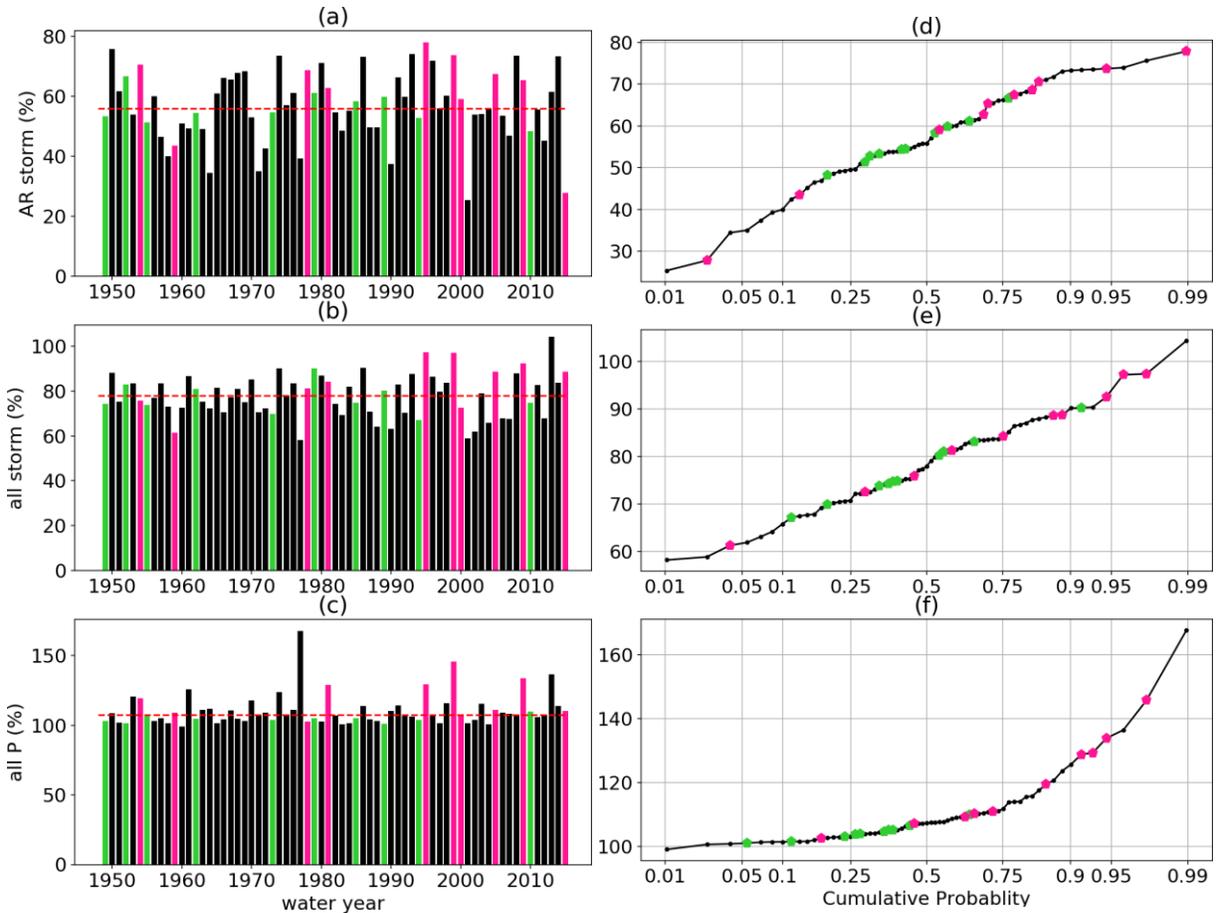
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623 Figure 5: Bar plots (left column) and empirical distributions (right column) of the contribution to
 624 peak SWE of AR storms, all storms, and all precipitation over the study period. Wet years are
 625 highlighted with blue and dry years are with red. The left column bars are the same as in Figure
 626 4.

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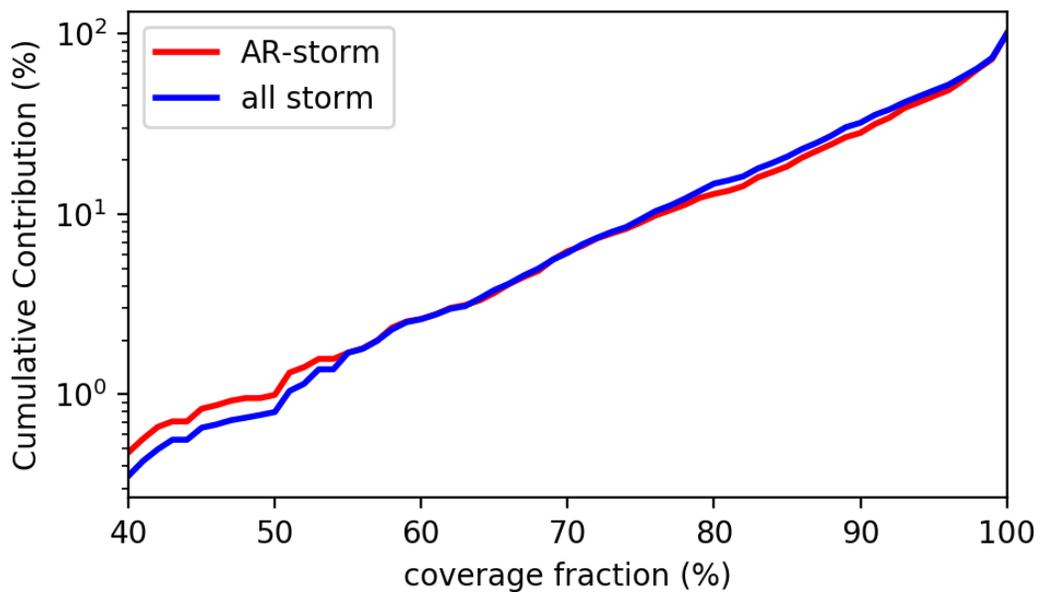
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629 Figure 6: Same as Figure 5 but for warm years (pink) and cold years (green).

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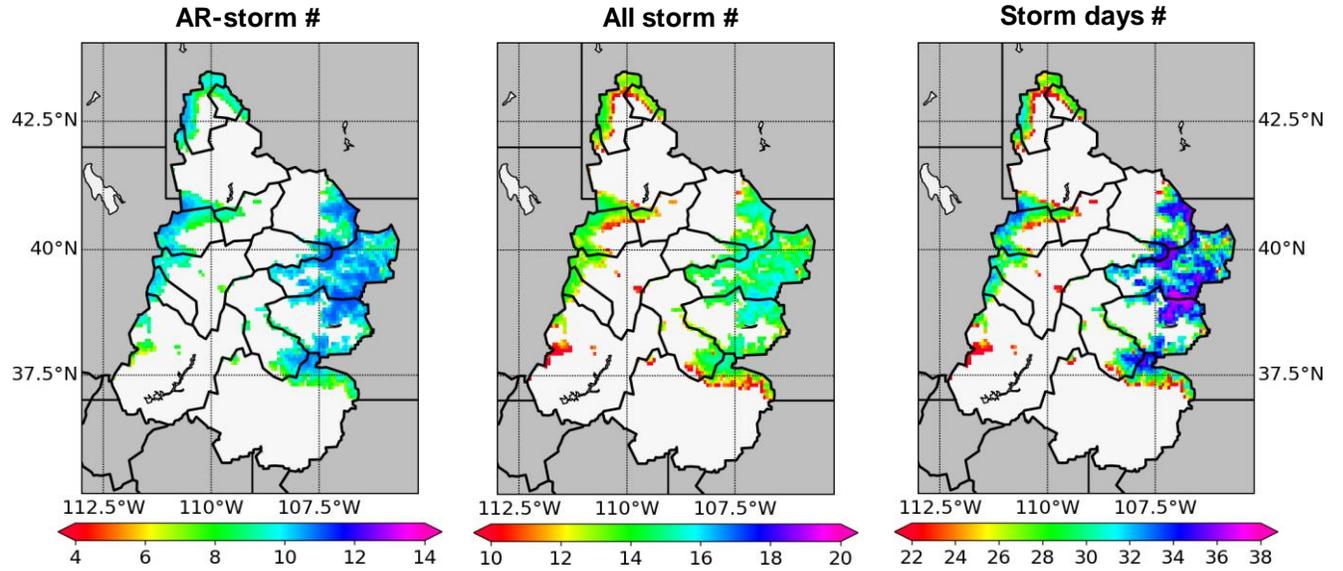


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635 Figure 7: Coverage area fraction vs cumulative contribution to snowpack of AR-storms (red) and
636 all storms (blue). The y-axis is in log scale.

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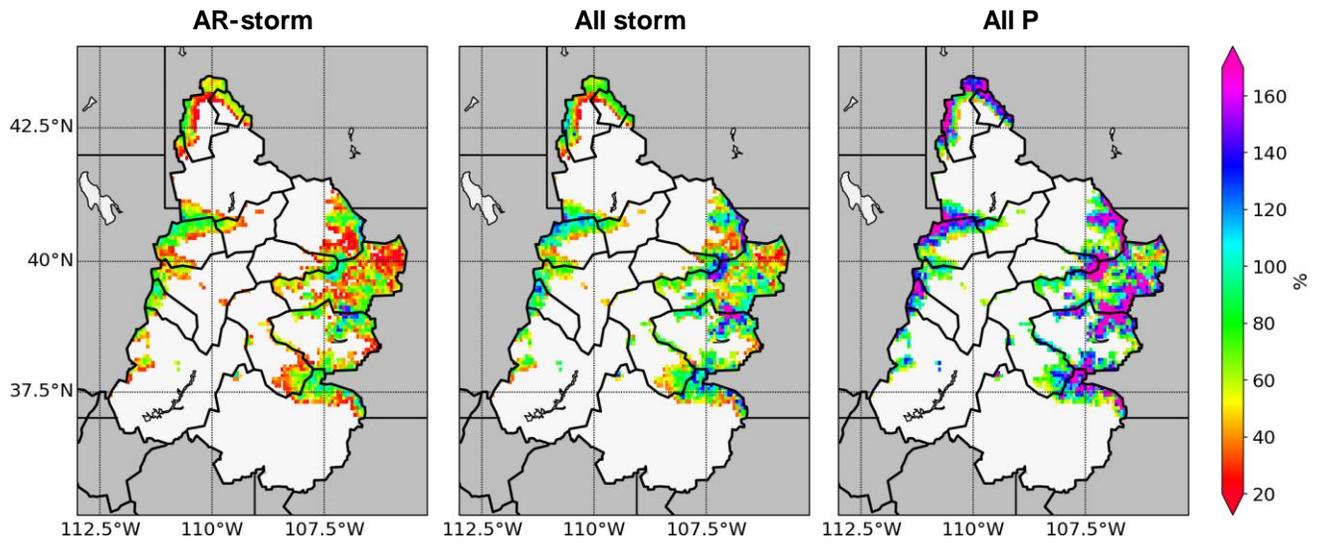


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639 Figure 8: Multiyear average number of AR-storms (left), all storms (middle) and storm days
640 (right) for all grid cells. Note that the color scales are different in each panel.

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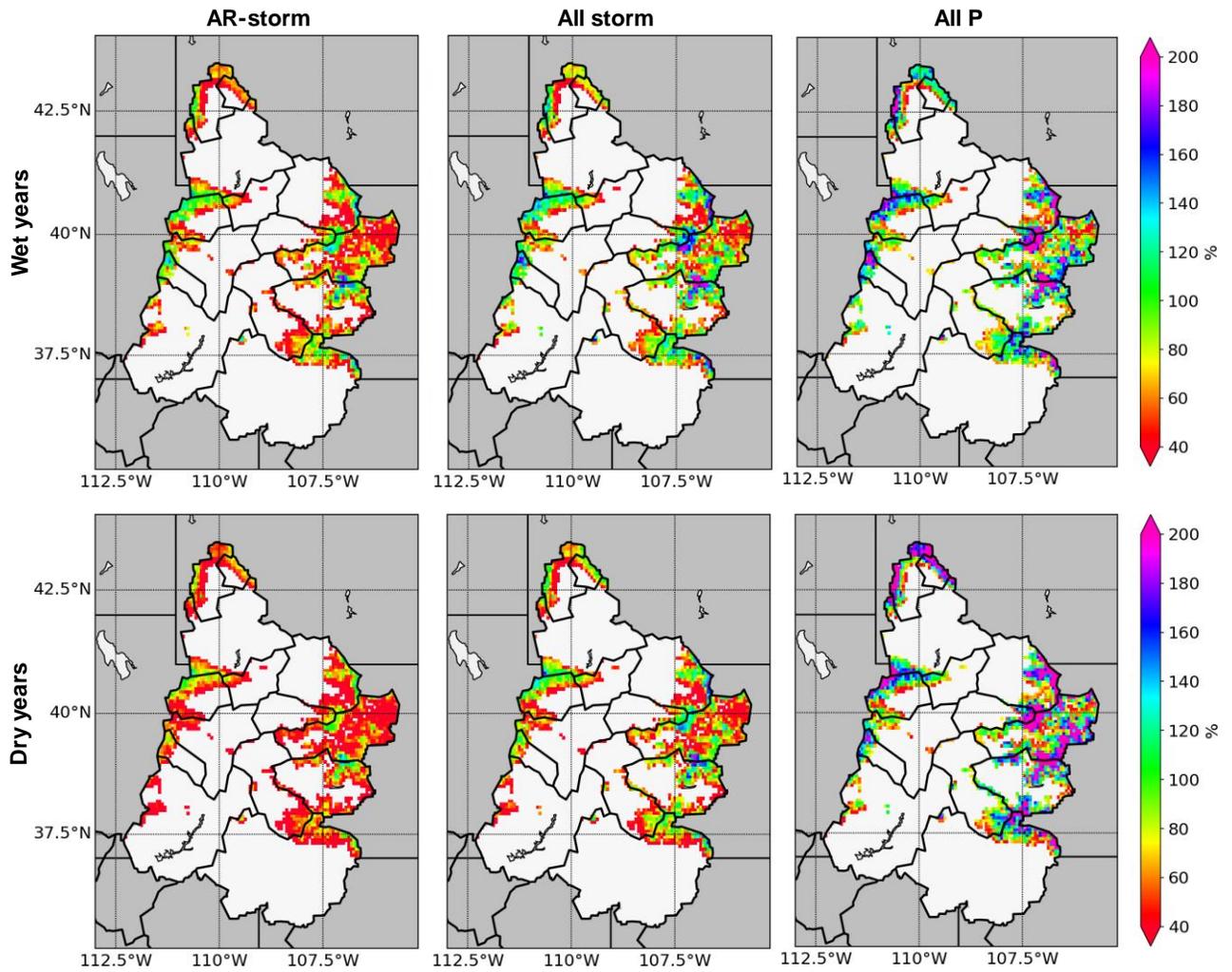
645 Figure 9: AR-storm (left), all storms (middle) and all precipitation (right) average contribution

646 to annual SWE maximum over the 1949-2014 study period.

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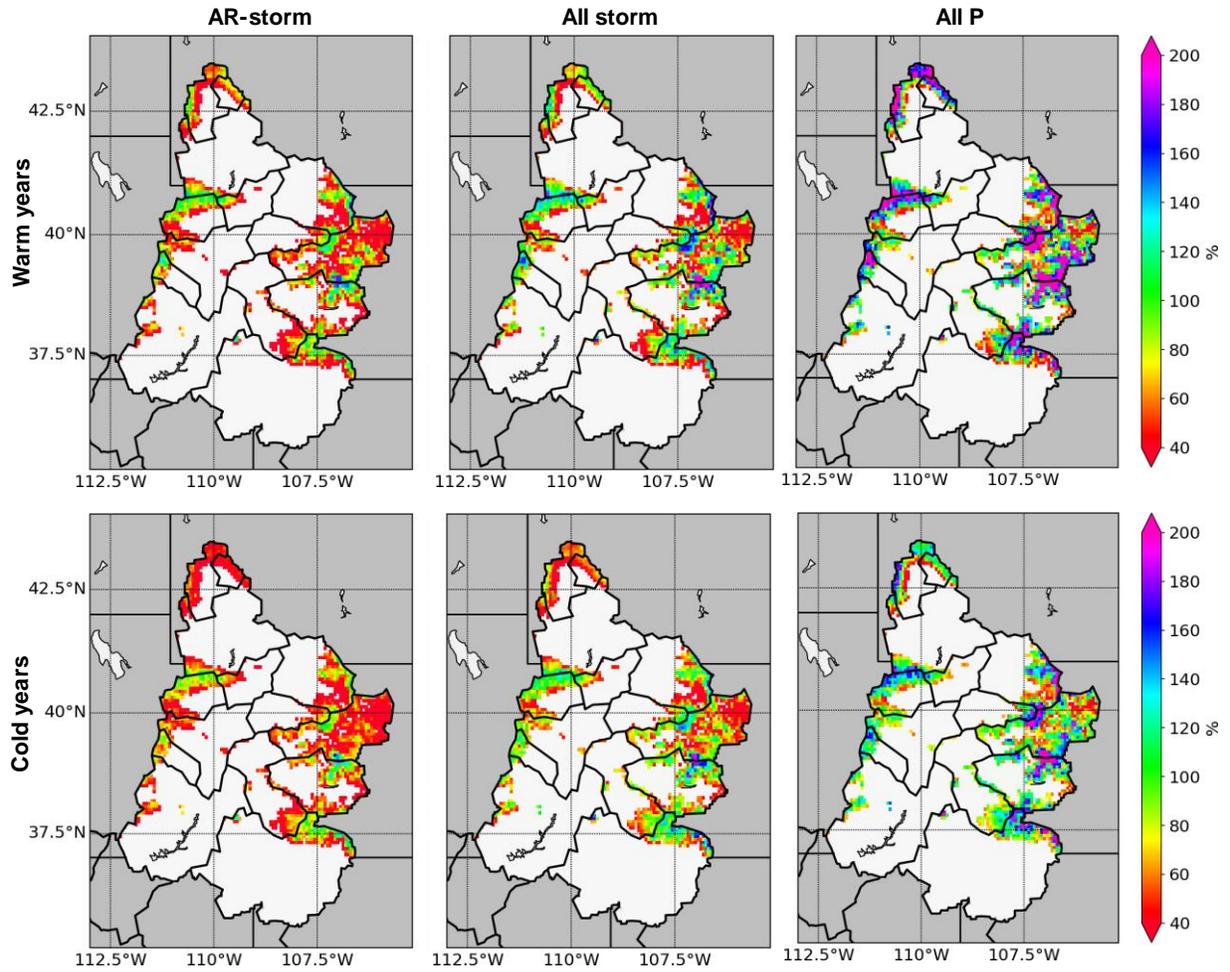
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651 Figure 10: Average contribution of AR-storm, all storms and all precipitation to annual SWE

652 maximum over the selected wet (top row) and dry (bottom row) years for each individual grid

653 cell.

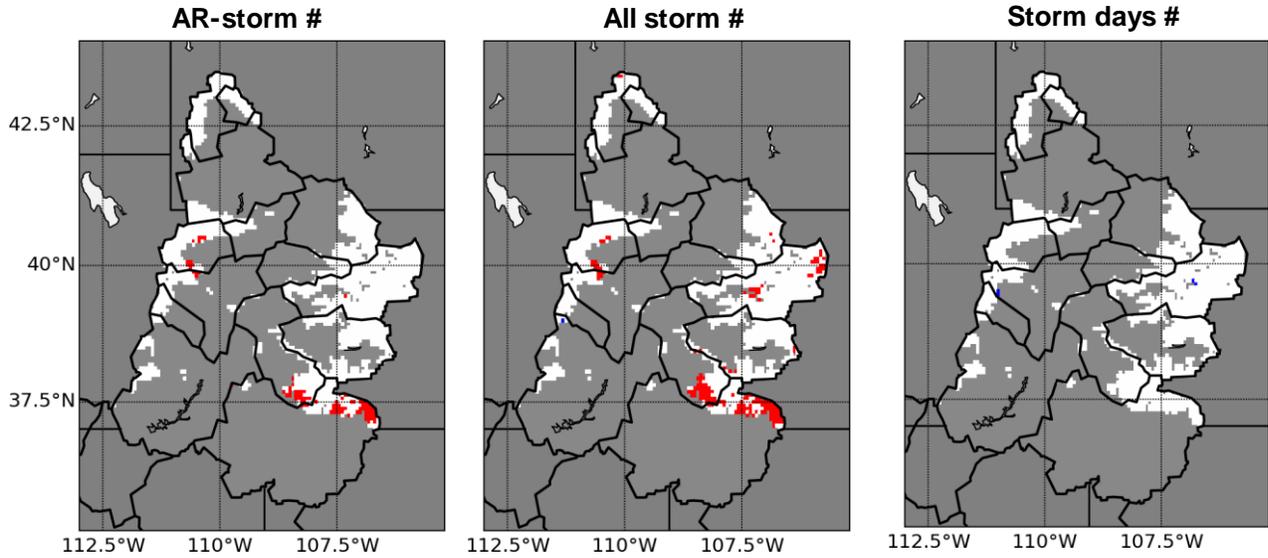
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656 Figure 11: Same as Figure 9 but for warm (top row) and cold (bottom row) years.

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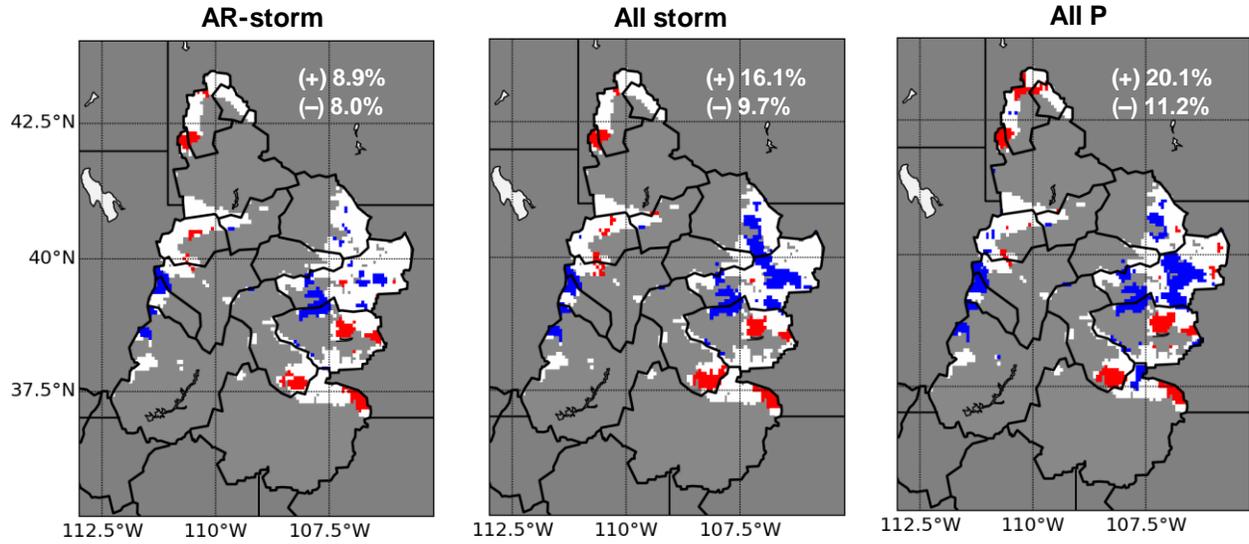


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660 Figure 12: Annual trends (by MK-test at 0.05 significant level) in number of AR-storms (left), all
 661 storms (middle) and individual storm days (right) at all grid cells. Blue indicates upward trend,
 662 red is downward trend and white represents no significant trend. Only the grid cells with long-
 663 term Apr-1st SWE > 50 mm are shown.

664

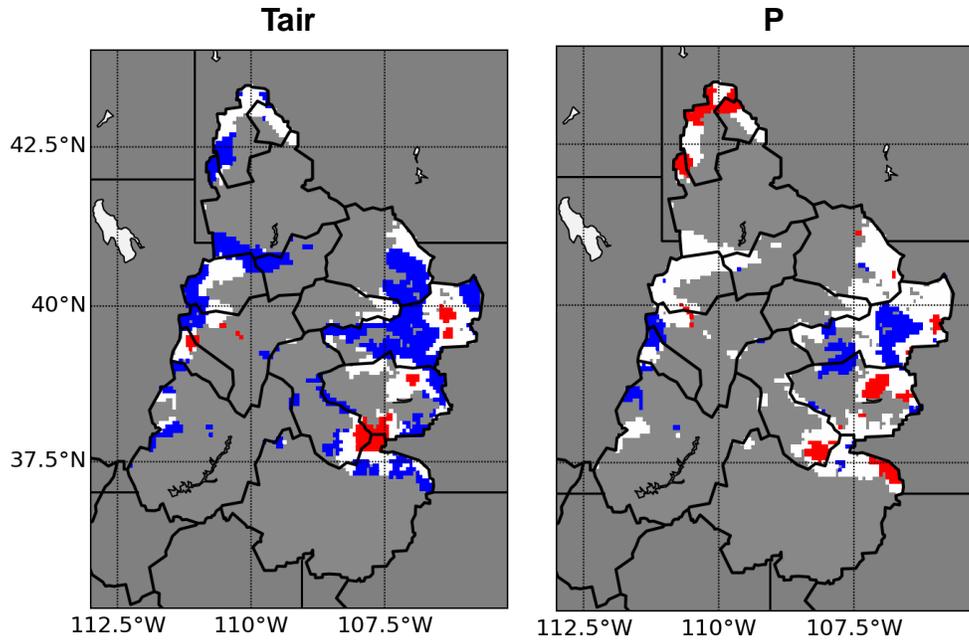


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666 Figure 13: Annual trends (by MK-test at 0.05 significant level) in contributions of AR-storms
667 (left), all storms (middle) and all precipitation (right) to annual maximum SWE. Blue indicates
668 upward trend and red is downward trend.

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Figure 14: MK-trend test results for temperature (left) and total precipitation during the

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accumulation season at each single grid over the 1949-2015. Blue indicates statistically

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significant upward trend and red indicates statistically significant downward trend at 0.05

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significance level.

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